

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Xingzhou JIN et al.**

Group Art Unit: 1711

Serial Number: **10/501,452**

Filed: **November 4, 2004**

Confirmation No.: **9405**

For: **SOLVENT-SOLUBLE BLOCK COPOLYMERIZATION
POLYIMIDE COMPOSITION, AND ITS PRODUCTION PROCESS**

Attorney Docket Number: **042586**

Customer Number: **38834**

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop: Missing Parts

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

September 30, 2005

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the Official Filing Receipt, we noted that there was an error in the 5th **Inventor's Information** and also we noted that the **Assignee's Information** was not recorded. The 5th Inventor's Information should read --Akihito Taniguchi, **Kawasaki-shi**, Kanagawa, JAPAN-- and the Assignee's Information should read --**PI R & D CO., LTD., Yokohama-shi, JAPAN--**. A copy of the **Transmittal Letter** and the **Declaration** are enclosed which indicate the correct information. We are also enclosing a copy of the filing receipt with the corrections highlighted.

Request for Corrected Filing Receipt

10/501,452

042586

If any fees are required in connection with this paper, please charge Deposit Account No.
50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

A handwritten signature in black ink, appearing to read "Sadao Kinashi", written in a cursive style.

Sadao Kinashi

Attorney for Applicants

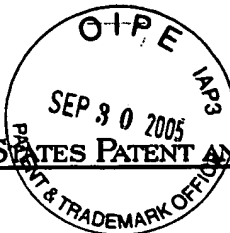
Registration No. 48,075

Telephone: (202) 822-1100

Facsimile: (202) 822-1111

SK/if

Enclosures: Official Filing Receipt; Transmittal Letter



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/501,452	11/04/2004	1711	1530	042586		15	5

38834

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
1250 CONNECTICUT AVENUE, NW
SUITE 700
WASHINGTON, DC 20036

AUG 25 2005

CONFIRMATION NO. 9405
FILING RECEIPT
OC000000016819662
OC000000016819662

Date Mailed: 08/22/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Xingzhou Jin, Yokohama-Shi, Kanagawa, JAPAN;
Hiroyuki Ishii, Yokohama-Shi, Kanagawa, JAPAN;
Masataka Miyamura, Kamakura-Shi, Kanagawa, JAPAN;
Hiroshi Itatani, Yokohama-Shi, Kanagawa, JAPAN;
Shinichiro Hori, Yokohama-Shi, Kanagawa, JAPAN;
Akihito Taniguchi, Kanagawa, JAPAN;

Please Insert: Kawasaki-Shi, JAPAN

Assignment for Published Patent Application

PI RAD CO., LTD., Yokohama-Shi, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 38834.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/00252 01/15/2003

Foreign Applications

JAPAN 2002-5413 01/15/2002

JAPAN 2002-5414 01/15/2002

Projected Publication Date: 11/24/2005

Non-Publication Request: No

Early Publication Request: No

Title

Solvent-soluble block copolyimide composition and process for producing the same

Preliminary Class

528

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER**Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: July 14, 2004
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP03/00252	INTERNATIONAL FILING DATE: January 15, 2003	PRIORITY DATE CLAIMED: January 15, 2002
TITLE OF INVENTION: SOLVENT-SOLUBLE BLOCK COPOLYMERIZATION POLYIMIDE COMPOSITION, AND ITS PRODUCTION PROCESS		
APPLICANT(S) FOR DO/EO/US: Xingzhou JIN, Hiroyuki ISHII, Masataka MIYAMURA, Hiroshi ITATANI, Shinichiro HORI and Akihito TANIGUCHI		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items (5), (6), (9) and (17) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is attached hereto.</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p style="margin-left: 40px;">b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p style="margin-left: 40px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 40px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: <u>PI R&D CO., LTD., Yokohama-shi, Japan</u> Please publish the assignee data with the application.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: <u>International Search Report</u></p>		

Docket No.

Armstrong, Westerman & Hattori, LLP

DECLARATION FOR U.S. PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**SOLVENT-SOLUBLE BLOCK COPOLYMERIZATION POLYIMIDE
COMPOSITION, AND ITS PRODUCTION PROCESS**

the specification of which is attached hereto unless the following is checked

☒ was filed on January 15, 2003 as United States Application Number _____ or PCT International Application Number PCT/JP03/00252 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

(List prior foreign applications. See note A below)

2002-005413
(Number)

Japan
(Country)

15/01/02
(Day/Month/Year Filed)

Priority Claimed
☒ Yes ☐ No

2002-005414
(Number)

Japan
(Country)

15/01/02
(Day/Month/Year Filed)

☒ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(See note B below)

☐ See attached list for additional prior foreign applications.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(List prior U.S. Applications)

(Application Serial No.)

(Filing Date)

Status
☐ Patented ☐ Pending ☐ Abandoned

(Application Serial No.)

(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

(Application Serial No.)

(Filing Date)

☐ Patented ☐ Pending ☐ Abandoned

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:



23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:



23850

PATENT TRADEMARK OFFICE

Full name of sole or first inventor (given name, family name) Xingzhou JIN

(See note
C above)

Inventor's Signature Xingzhou Jin Date July 10, 2004

Residence Same as post office address Citizenship China

Post Office Address 2-1-6-504, Konandai, Konan-Ku, Yokohama-Shi,
KANAGAWA 234-0054 JAPAN

Full name of second inventor (given name, family name) Hiroyuki ISHII

Inventor's Signature Hiroyuki ISHII Date July 10, 2004

Residence Same as post office address Citizenship Japan

Post Office Address 4-3-2-205, Sugita, Isogo-Ku, Yokohama-Shi, KANAGAWA 235-0033 JAPAN

Full name of third inventor (given name, family name) Masataka MIYAMURA

Inventor's Signature Masataka Miyamura Date July 10, 2004

Residence Same as post office address Citizenship Japan

Post Office Address 4-25-4, Shichirigahama-higashi, Kamakura-Shi, KANAGAWA 248-0025
JAPAN

Full name of fourth inventor (given name, family name) Hiroshi ITATANI

Inventor's Signature Hiroshi Itatani Date July 10, 2004

Residence Same as post office address Citizenship Japan

Post Office Address 1-2-1-1014, Namiki, Kanazawa-Ku, Yokohama-Shi, KANAGAWA 236-0005
JAPAN

Full name of fifth inventor (given name, family name) Shinichiro HORI

Inventor's Signature Shinichiro Horii Date July 10, 2004

Residence Same as post office address Citizenship Japan

Post Office Address City 9-303, 4-17-1, Sugita, Isogo-Ku, Yokohama-Shi, KANAGAWA
235-0033 JAPAN

Full name of sixth inventor (given name, family name) Akihito TANIGUCHI

Inventor's Signature (not reached) Date July 10, 2004

Residence Same as post office address Citizenship Japan

Post Office Address 1-4-3-102, Higashiarima, Miyamae-Ku, Kawasaki-Shi, KANAGAWA
216-0002 JAPAN

Full name of seventh inventor (given name, family name) _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

Full name of eighth inventor (given name, family name) _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____